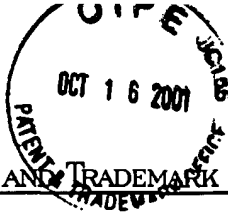




UNITED STATES PATENT AND TRADEMARK OFFICE



#3

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/929,870	08/14/2001	Michael Schwartz	91-95E

CONFIRMATION NO. 8997

## FORMALITIES LETTER



\*OC00000006560069\*

GREENLEE WINNER and SULLIVAN, P.C.  
Suite 201  
5370 Manhattan Circle  
Boulder, CO 80303

Date Mailed: 09/17/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$99.
  - \$99 for 11 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 519.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/18/2001 BABRAHA1 00000021 071969 09929870

01 FC:201	370.00 OP
02 FC:203	99.00 OP
03 FC:205	15.00 CH 50.00 OP



*Sector \$*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Schwartz et al.

Group: 1754

Serial No. 09/929,870

Examiner: Unassigned

Filed: August 14, 2001

For: SOLID STATE OXYGEN ANION  
AND ELECTRON MEDIATING  
MEMBRANE AND CATALYTIC  
MEMBRANE REACTIONS  
CONTAINING THEM

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner of Patents, Washington, D.C. 20231 on

*10/12/01*

*Kay Speaker*  
Kay Speaker

TRANSMITTAL

Hon. Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts mailed September 17, 2001, Applicants enclose herewith the following:

Part 2 of Notice

True copy of Inventors Declaration filed in great-grandparent application 08/639,781

Check in the amount of \$519 for filing fee and surcharge

If the amount submitted is incorrect, please charge any deficiency or credit any overpayment to Deposit Account No. 07-1969.

Respectfully submitted,

*Sally A. Sullivan*

Sally A. Sullivan

Reg. No. 32,064

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Docket 91-95E